Dataverse Network
A Data Sharing System

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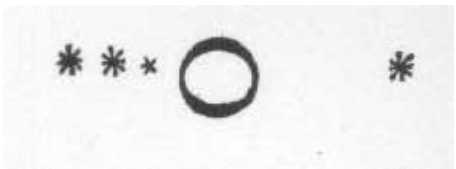
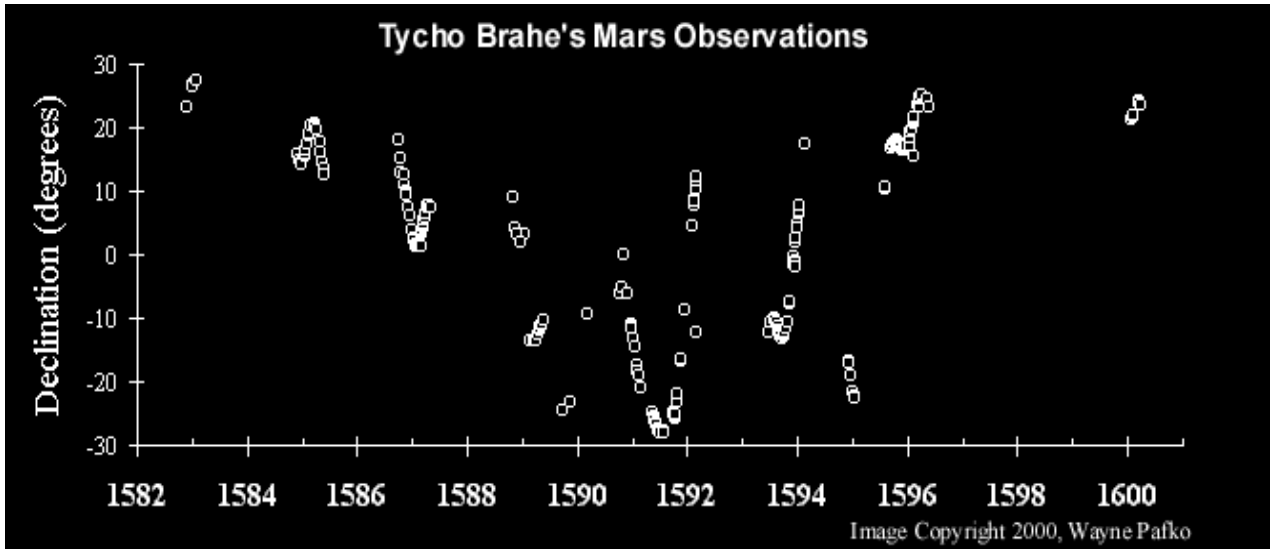
A long history of data sharing has yielded revolutionary impacts

Galileo's Jupiter observations

Night 1



Night 2



1582–1600 Tycho Brahe collects data of mars position
1605 Kepler infers three laws of planetary motion based on Brahe's observations
1610 Galileo publishes observations of the moons of Jupiter
1687 Based partly on above observations, Newton publishes the theory of universal gravitation

Who is sharing now?

In the natural and physical sciences, many Journals require authors to share their data

Nature's Policy on availability of data and materials:

"An inherent principle of publication is that others should be able to replicate and build upon the authors' published claims. Therefore, a condition of publication in a Nature journal is that **authors are required to make materials, data and associated protocols promptly available to readers without preconditions**. …. The preferred way to share large data sets is via public repositories".

Who is sharing now?

In health research, funding agencies are requiring grantees to share their data

Since 2003…

“NIH reaffirms its support for the concept of data sharing. We believe that data sharing is essential for expedited translation of research results into knowledge, products, and procedures to improve human health. The NIH endorses the sharing of final research data to serve these and other important scientific goals”.

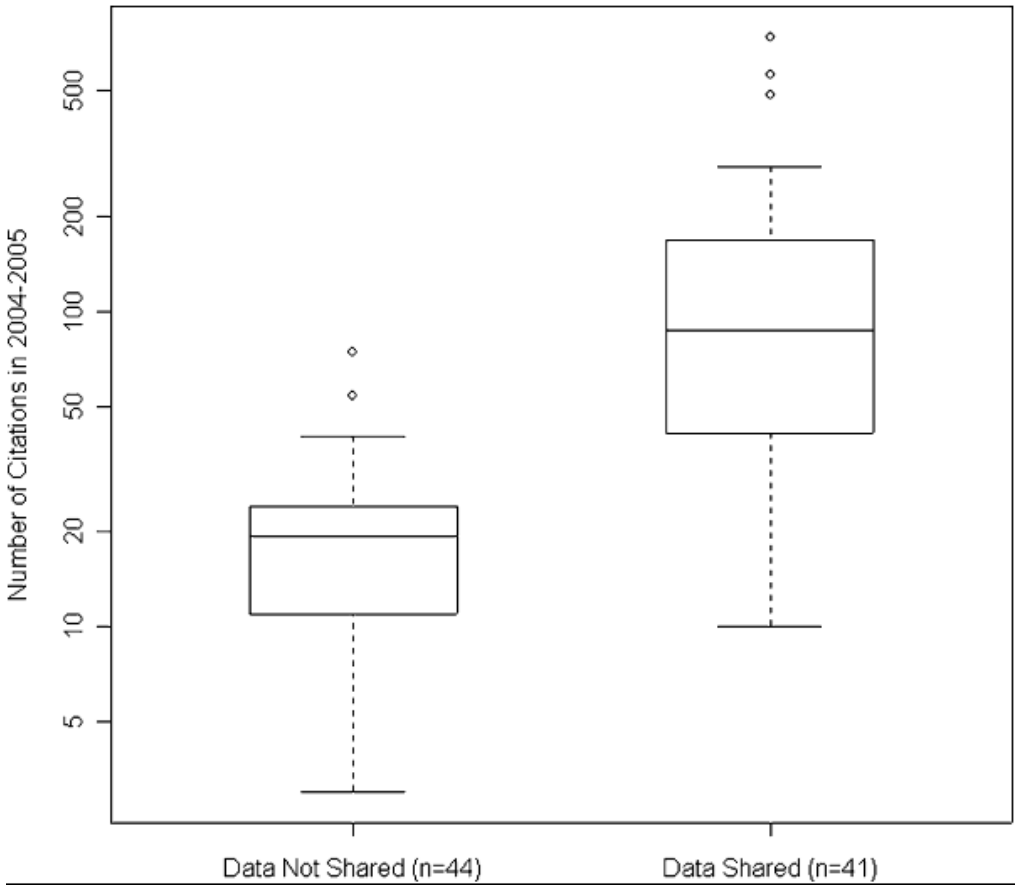
Who is sharing now?

In Social Sciences, organizations and archives are encouraging scholars and data collectors to share their data

Council of European Social Science Data Archives on sharing data benefits…

- "Sharing data reinforces open scientific inquiry, allowing effective self-correction of research; secondary analysts can verify, refute, or refine original results.
- It facilitates high-quality, policy-relevant research.
- Sharing encourages diversity of analysis and opinions, and of a multiplicity of perspectives.
- Sharing promotes new research and allows for the testing of new or alternative methods.
- It allows analysis of data in ways not envisioned by the original investigators and improves methods of data collection and measurements through the scrutiny of others.
- Sharing data reduces costs by avoiding duplicate data collection efforts.
- …"

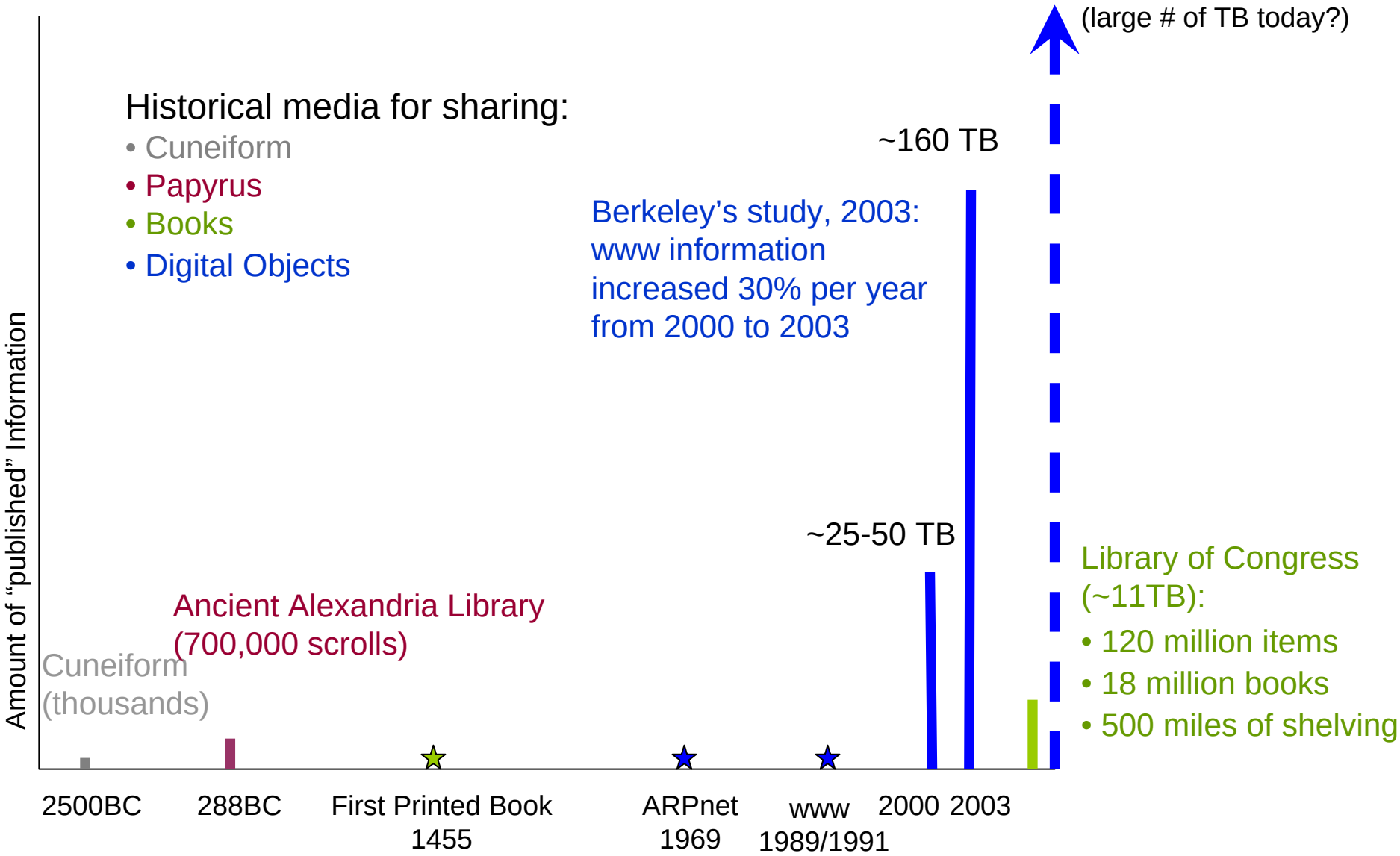
Sharing data increases citation of researcher's published work



Distribution of 2004–2005 citation counts of 85 clinical trials by data availability.
(the box encompasses the interquartile range of the citation counts, whiskers extend to 1.5 times the interquartile range, and lines within the boxes represent medians.)

Piwowar HA, Day RS, Fridsma DB 2007 Sharing Detailed Research Data Is Associated with Increased Citation Rate. PLoS ONE 2(3): e308 doi:10.1371/journal.pone.0000308

Increasing volumes of information and new media demands digital libraries for effective sharing



The Dataverse Network provides a digital library for research data that addresses concerns about sharing

Requirements	Our Solutions
Author's credit and persistent reference to data	New data citation standard for study/dataset
Control by author, department or project group	Each entity can have an individual "virtual archive" (dataverse)
Author's and organization's recognition	Branding to match author's or organization's website
Privacy/Restrictions	Different levels of study and file permissions set by data owner
Cataloging (as in traditional library)	Extensive study metadata, easy to enter (self-archiving)
Support for heterogeneous data formats and sources	Store data files individually, not in centralized data table
Preservation/Interoperability	Convert to preservable/exchangeable formats automatically
Data Safety	Professional archiving safer than in researcher's computer

A data citation for each study in the Dataverse

- Dataverse provides a new standard for data citation
- It allows to cite research digital data from published printed work
- Data Citation is automatically generated when a study is created and includes:
  1. Persistent Idenitifier
  2. Universal Numerical Fingerprint (applied to quantitative files, for now)

Data citation format:

Author, Date, “Title”, Persistent Identifier Universal Numerical Fingerprint (UNF)
Distributor or other optional fields […]

Verba, Sidney; Nie, Norman H., 1984, "Political Participation in America, 1967",
hdl:1902.2/7015 UNF:3:+DNr7jVq/5XmsPAmls4KQg==
Inter-university Consortium for Political and Social Research [Distributor]

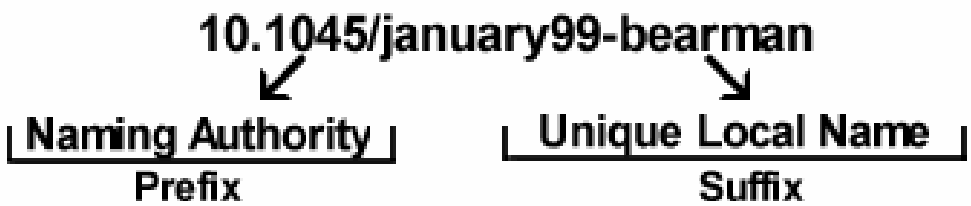
1. Persistent identifiers: permanence and reliability to citation of digital objects

Examples of Persistent Identifiers:

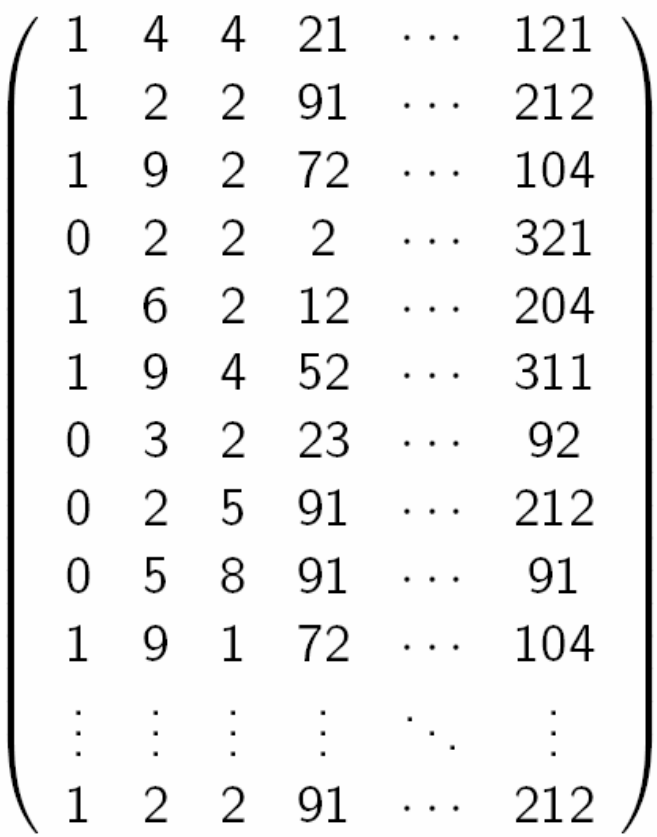
1. Handle System
2. Digital Object Identifier (DOI) – Based on handles, but with cost
3. PURL
4. Uniform Resource Names (URN)

By default, Dataverse uses **handles** because of low cost, good implementation and services:

<Handle> ::= <Handle Naming Authority> "/" <Handle Local Name>



2. Universal Numerical Fingerprint (UNF): used to uniquely identify and verify data



- Apply a cryptographic algorithm
- Solely based on semantic contents of the digital object:
  - data changes result in new UNF
  - format or location changes retain original UNF
- Final alphanumeric string:
  - uniquely summarizes the contents,
  - but does not convey its information

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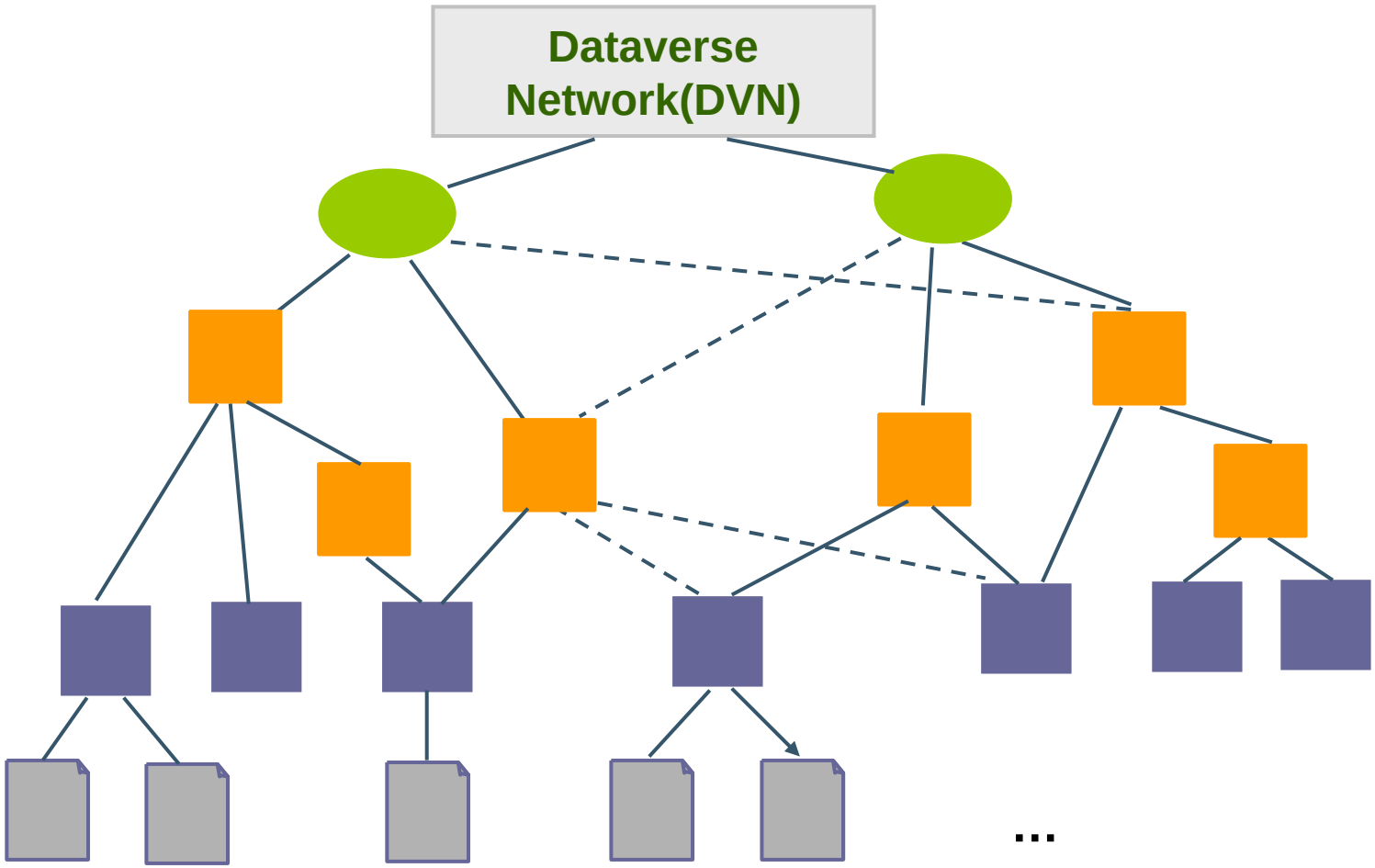
The “Network” offers an extensive and flexible functionality to organize data



Dataverses

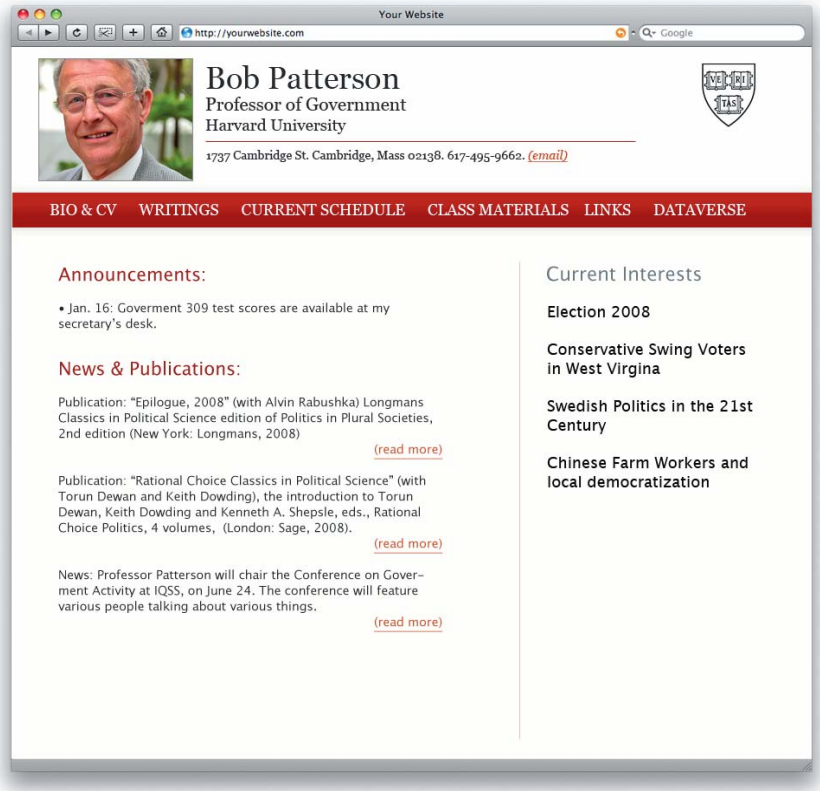
Collections (optional)

Studies: metadata

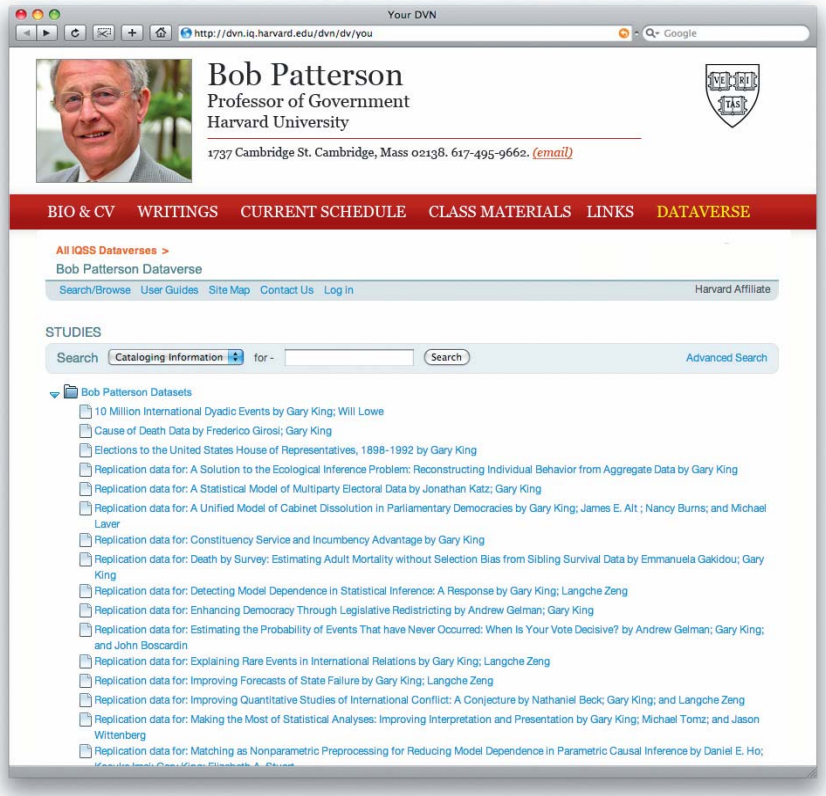
+

Data Files, Documents

Scholars get an individual “archive” with a dataverse

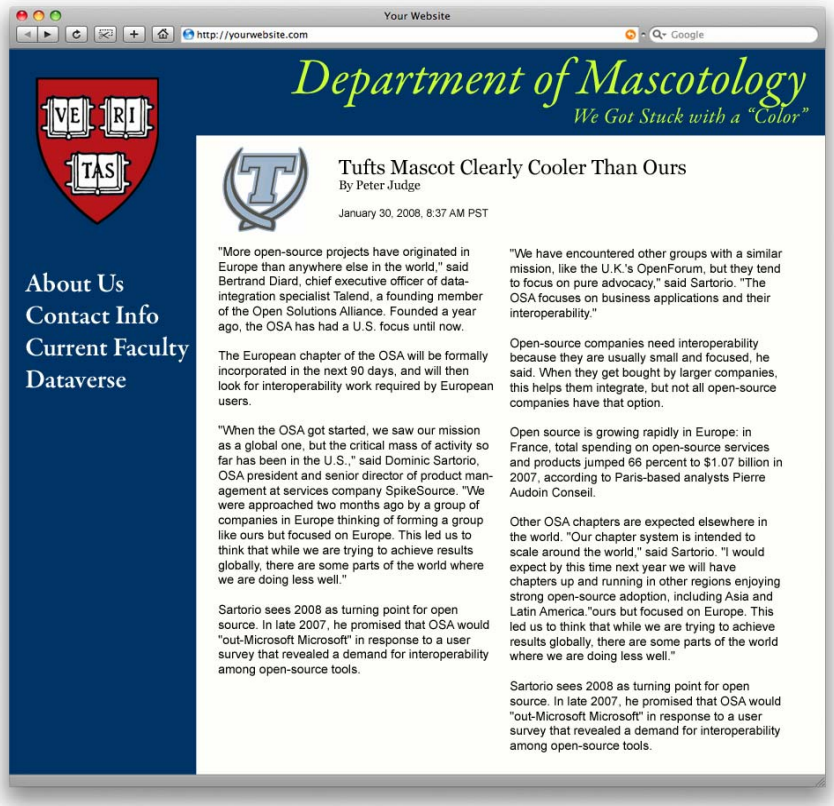


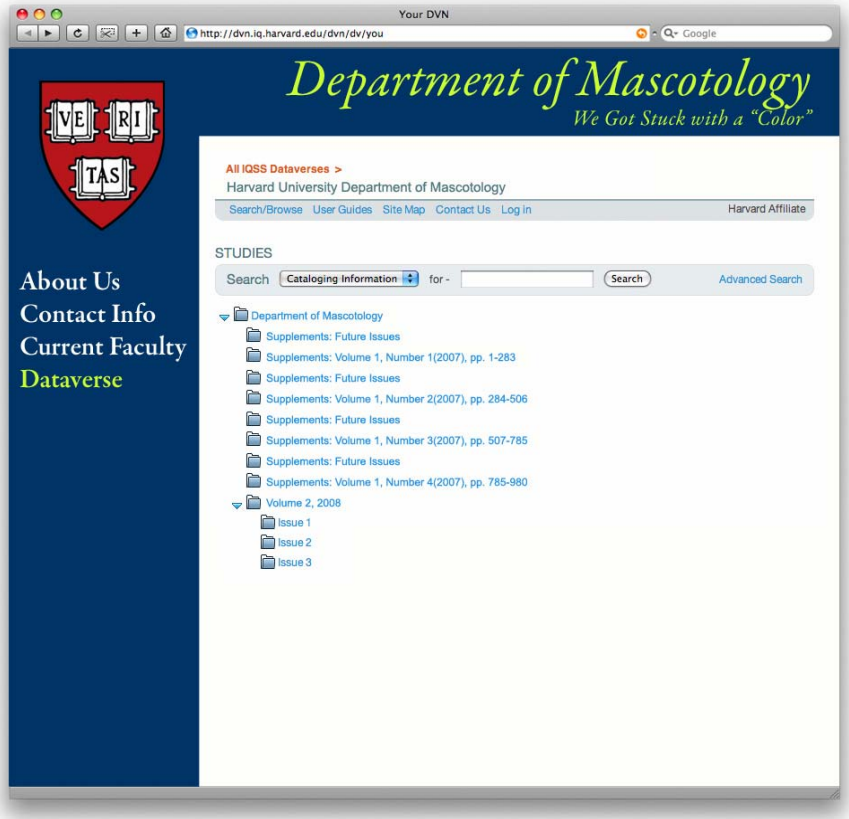
Scholar’s website



Scholar’s Dataverse

And the same for Departments, projects or centers





Department's or project website

Department's or project Dataverse

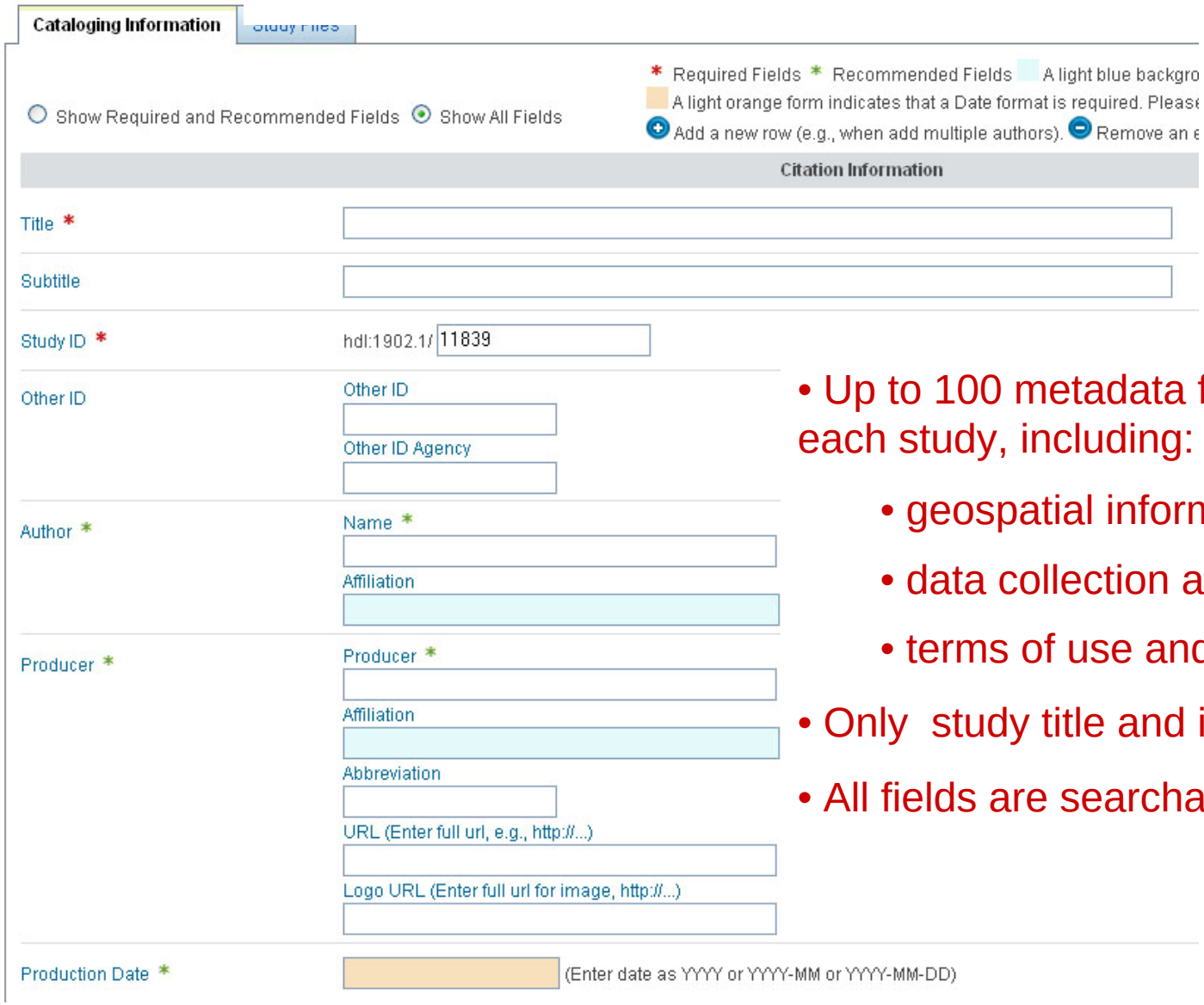
What can you do with a dataverse?

Features	Admin	Curator	Contributor	End-user
Search Studies and Browse collections	X	X	X	X
Advanced Search (by metadata field)	X	X	X	X
View metadata and download files	X	X	X	(depending on permissions)
Subset and analyze quantitative data	X	X	X	(depending on permissions)
Create Study and upload files	X	X	X	
Set Permissions to Study	X	X	X	
Release Study	X	X		
Update Study after Release	X	X		
Organize Studies by Collections	X	X		
Brand dataverse	X			
Add new admins, curators and contributors	X			
Change Settings (contact e-mail, dataverse name, etc)	X			
Release dataverse when ready	X			

Studies provide multiple permission levels controllable by the data owner (admin, curator or contributor)

Completely Public	**Public but with Agreement**	**Files Restricted**	**Entire Study Restricted**
All users can access entire study - both cataloging information (metadata) and files -	All users can access entire study, but need to agree to terms of use to download the files.	All users can access metadata, but files are only available to a set of user: - Authorized by dataverse login - Authorized based on IP address	Only a set of users can access metadata and files. This means that the study can not even be found by searching for non-authorized users.

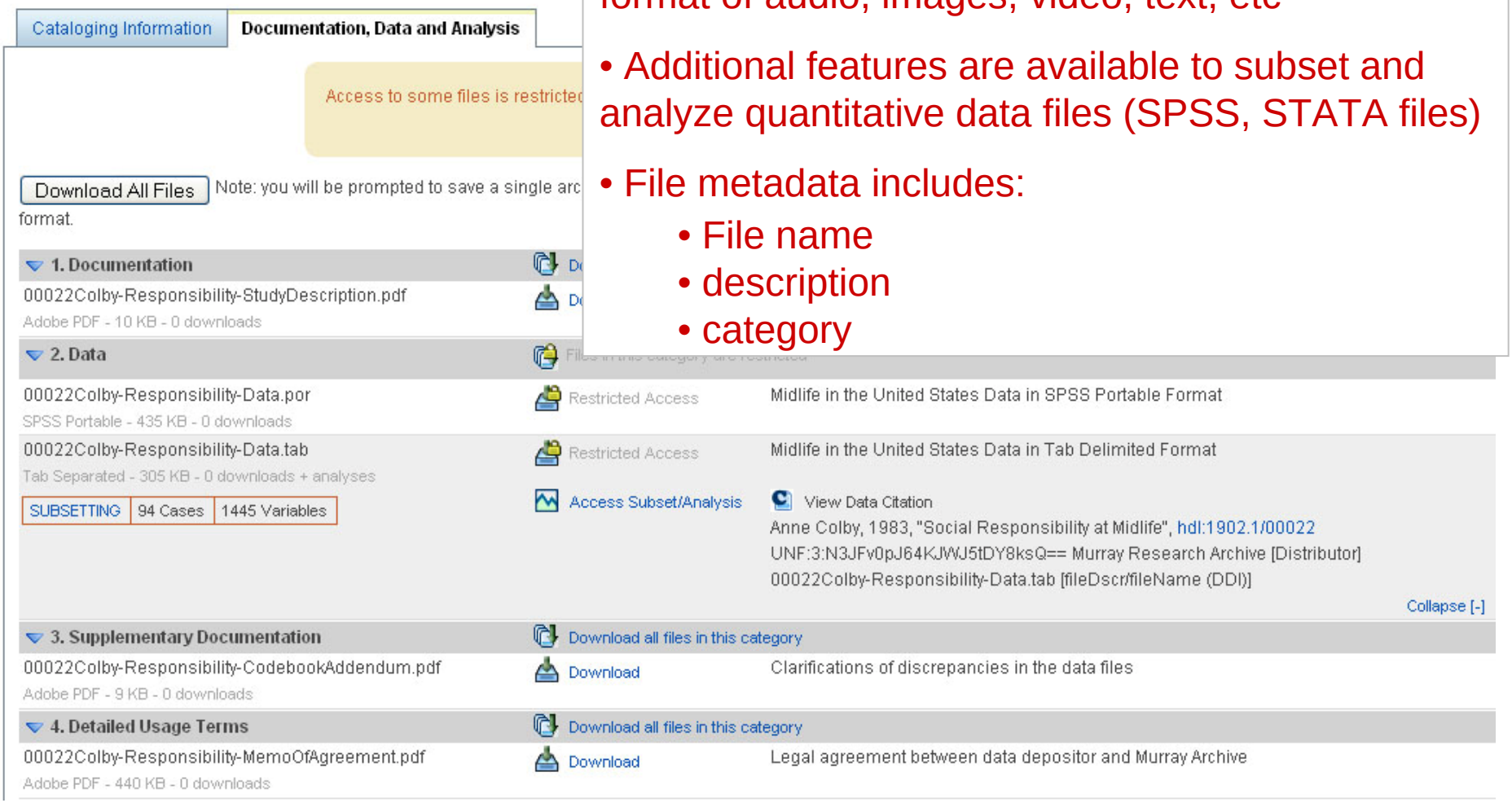
Authors can self-archive their studies with extended cataloging options



- Up to 100 metadata fields to describe each study, including:
  - geospatial information
  - data collection and methodology
  - terms of use and conditions
- Only study title and id are required
- All fields are searchable

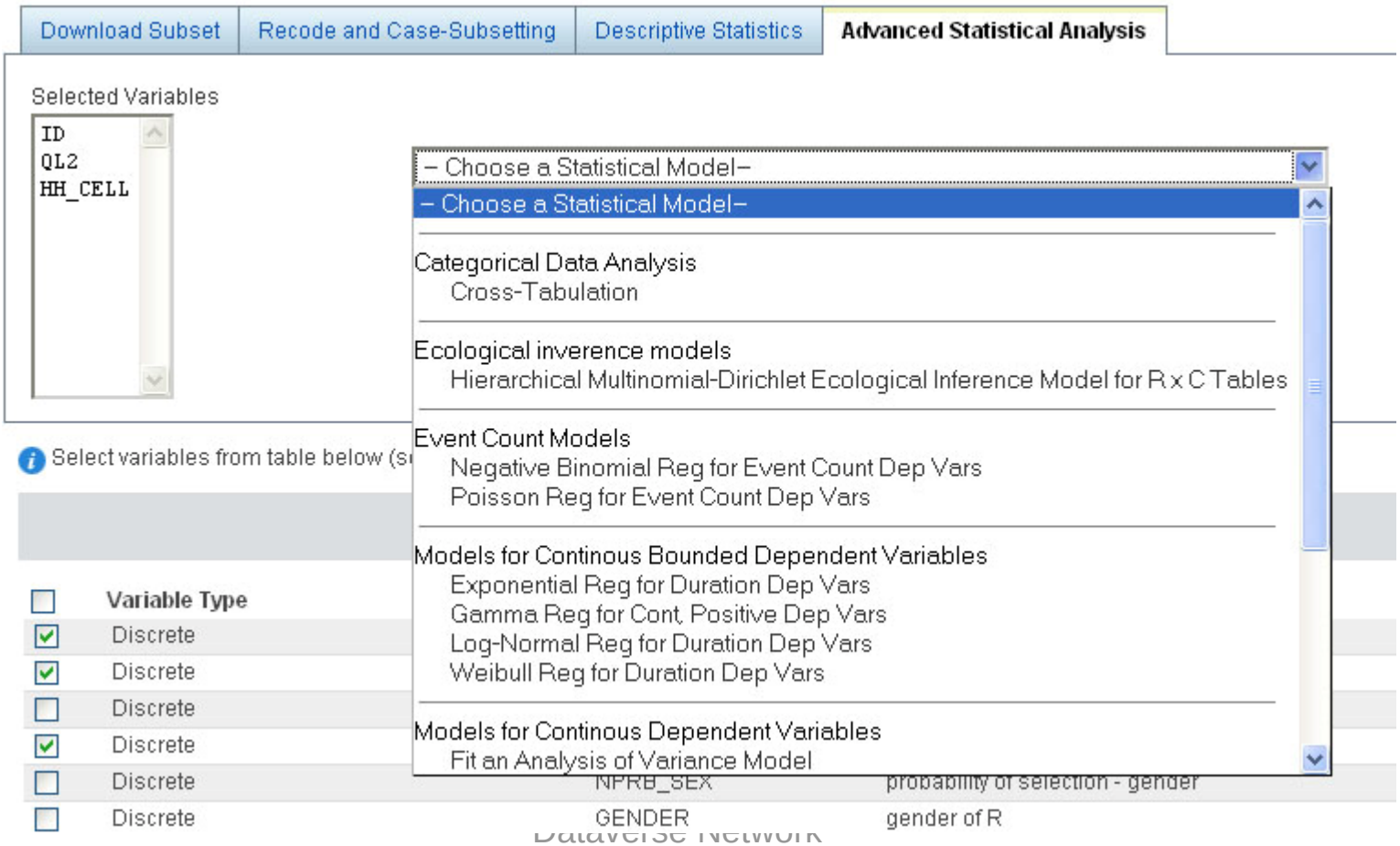
A dataverse supports ANY file type, but offers additional services to quantitative data files

- Author can upload to the study any file type or format of audio, images, video, text, etc
- Additional features are available to subset and analyze quantitative data files (SPSS, STATA files)
- File metadata includes:
  - File name
  - description
  - category



A rich set of data analysis based on R statistical package

- Download a subset of variables
- Recode a variable
- Apply descriptive statistics or and advanced statistical models (from Zelig/R)



The Dataverse Network software supports data archive standards for interoperability

Open Archive Initiative: Protocol for Metadata Harvesting (OAI-PMH)

Data Documentation Initiative (DDI): standard in XML for metadata describing social science data

Dublin Core: bibliographic metadata standards for describing resources

Handles: Persistent Identifiers

Metadata and files are converted automatically for preservation and interoperability

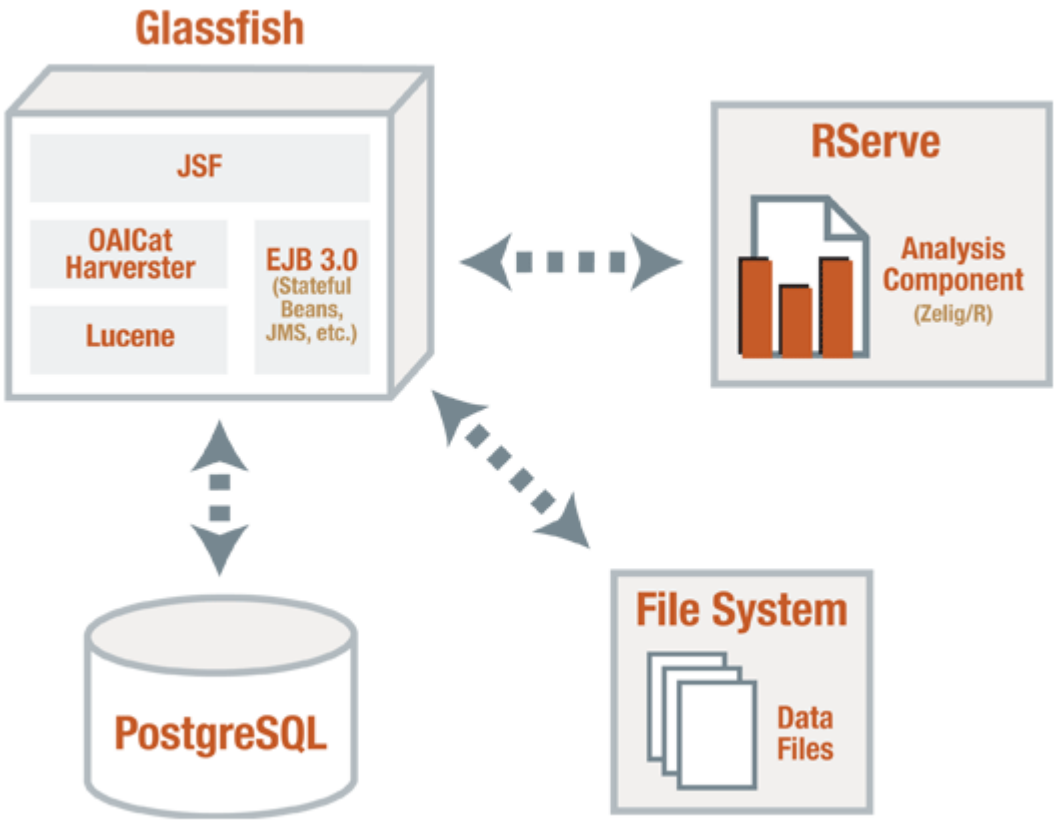
Author enters study metadata using a web form

Dataverse exports metadata from database into an XML format (DDI and Dublin Core) for preservation and harvesting

Author uploads quantitative data file in statistical format (SPSS, STATA)

Dataverse converts into a plain tabular file + variable metadata (independent of statistical package, versions, etc)

J2EE architecture is at the core of the Dataverse Network software



Multiple layers for maintainability and scalability:

- JSF: User interface layer
- EJB: Business logic layer
- OAI client and server: For harvesting metadataLucene: Index server
- PostgreSQL: Database for persitence storage of metadata
- File System: For storage of data data + complementary files
- R Serve: Analysis component for quantitative data file

IQSS Dataverse Network Case Study

- Project was initiated 3 years ago (based on the Virtual Data Center previously implemented by Harvard IQSS)
- In production for Harvard and MIT for more than 1 year, with ~ 300-500 users per day.
- Post-release adoption was rapid: ~100 dataverse owners within 6 months.
- We have now about 160 dataverses, with 30,000 studies, 500,000 files, including datasets from archives and from individual scholars from universities around the world.
- About 100 additional dataverses currently in preparation

The Dataverse Network expansion outside Harvard/MIT is underway…

ODUM at University of North Carolina (In production)

ICPSR

Inter-University Consortium for Political and Social Research, University of Michigan (In testing)

Australian Social Science Data Archive (In evaluation)

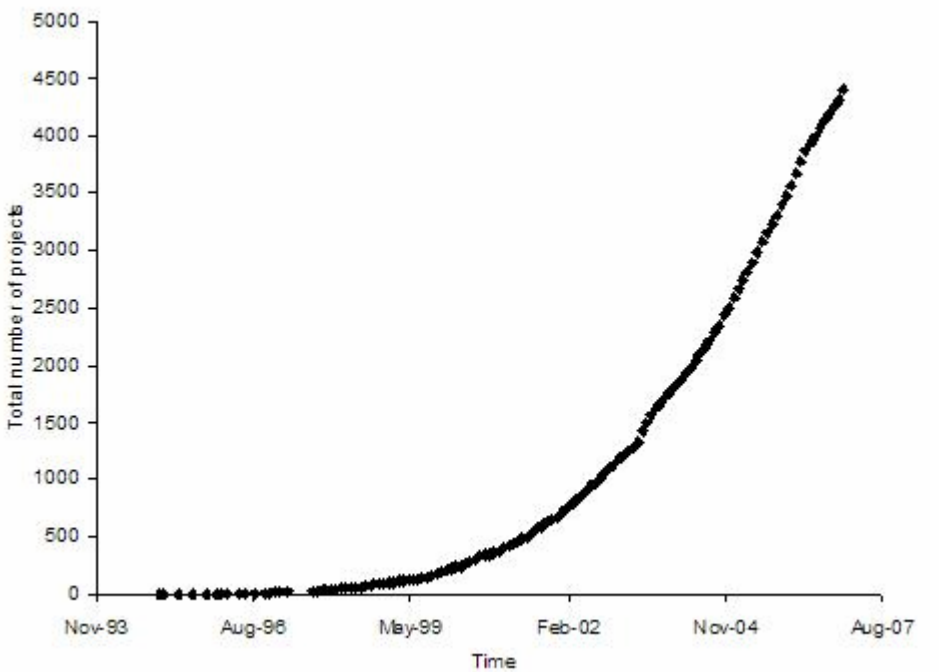
Woods Hole Oceanographic Institution (In Testing)

South Africa National Archives and Records Service (NARS) (In evaluation)

And Finally, It is Free, Open Source Software

- Number of open source projects growing at an exponential rate.

- More and more organizations and companies are using open source for every day operations.



Graph of total number of open source projects

How to contribute (New):

- **Advisory Committee:**
  Open to **active users** of the software (installing a Dataverse Network for their organization, own a dataverse).

- **Technical Committee:**
  Open to **developers** who are participating in the design and implementation

What's next?

- Expand quantitative features (UNF, convert to preservable format, etc) to other file types
  - For other quantitative file formats in addition to SPPS, STATA
  - For qualitative file formats
- Data Visualization:
  - Geospatial tools for locating data
  - Graphical representations of datasets
- Expand support to health, biomedical data and other research fields (additional metadata, ontologies)
- User comments, data versioning, and other added-value features
- Remote authorization (Shibboleth?)
- And more …

References and Acknowledgements

- **http://thedata.org**

- Development team at IQSS, Harvard University:
  Ellen Kraffmiller, Gustavo Durand, Kevin Condon, Leonid Andrev, Wendy Bossons, Akio Sone, Michael Heppler, Isabelle Chopin, Elena Villalon
- Gary King, An Introduction to the Dataverse Network as an Infrastructure for Data Sharing, Sociological Methods and Research, 32, 2 (November, 2007): 173–199.
- Micah Altman and Gary King. A Proposed Standard for the Scholarly Citation of Quantitative Data, D-Lib Magazine, 13, 3/4(March/April, 2007).
- Contributors to project: Bob Treacy and Ann Starkey